

REPORT TO THE
SENATE APPROPRIATIONS COMMITTEE
ON HEALTH AND HUMAN SERVICES
HOUSE OF REPRESENTATIVES APPROPRIATIONS SUBCOMMITTEE
ON HEALTH AND HUMAN SERVICES
THE FISCAL RESEARCH DIVISION
AND
THE JOINT LEGISLATIVE OVERSIGHT COMMITTEE ON
MENTAL HEALTH, DEVELOPMENTAL DISABILITIES AND
SUBSTANCE ABUSE SERVICES

LOCAL MANAGEMENT ENTITIES
CRISIS SERVICE PLANS

Session Law 2006-66
Senate Bill 1741, Section 10.26. (f)

August 31, 2007

NORTH CAROLINA
DEPARTMENT OF HEALTH AND HUMAN SERVICES
DIVISION OF MENTAL HEALTH, DEVELOPMENTAL DISABILITIES AND
SUBSTANCE ABUSE SERVICES

A Report on LME Crisis Service Plans

August 31, 2007

The General Assembly enacted Senate Bill 1741, Section 10.26 (Session Law 2006-66) that appropriated funds and outlined legislative requirements regarding the planning and development of a continuum of crisis services for mental health, developmental disabilities, and substance abuse consumers of all ages who are in need of crisis services.

This report provides a brief summary of activities during the first three quarters of State Fiscal Year (SFY) 2006-07 and then provides information about crisis service system planning and implementation activities that have occurred from April 1 through June 30, 2007.

Background Summary of Crisis Services Planning

July-September 2006

- **Designation of Crisis Service Planning Regions.** Fifteen regional groupings of local management entities (LMEs) were designated for considering the development of regional facility based crisis services.
- **LME Crisis Service Inventories Submitted.** Each LME submitted an inventory of their current crisis services in early September 2006.
- **Request for Proposal (RFP) for consultants to assist with crisis service planning and implementation.** An RFP was issued and proposals were received and reviewed in September 2006.

October –December 2006

- **Contract with Technical Assistance Collaborative to provide consultants.** This contract was signed in November 2006 and will be in effect through June 30, 2008.

January-March 2007

- **Preparation of a Crisis Plan Template and Instructions for Local Management Entities.** Three one day meetings were held in Greenville, Raleigh, and Morganton on February 5-7, 2007. The final plan template was sent to all LMEs on February 13, 2007.
- **Submission and Review of Crisis Plans.** All LMEs submitted a crisis plan on or before the March 1, 2007 due date. Staff from the NC Division of Mental Health, Developmental Disabilities and Substance Abuse Services (MH/DD/SAS) reviewed each plan using a standardized review tool developed by the Technical Assistance Collaborative (TAC). Each plan was evaluated and ranked into three possible categories – recommended, recommended with required edits, or not recommended. LMEs were notified regarding any edits required to their plans. TAC and Division staff are working with LMEs on an individual basis to make the necessary edits to plans that did not receive full approval.
- **Allocation of Start-up funds for Crisis Services.** In accordance with the legislation, each LME was to receive a share of the \$5,250,000 in start up funds made available by the NC General Assembly, determined on the poverty per capita formula, upon approval of the Crisis Plan for the LME. Plans recommended with edits would receive 75% of the funding in March 2007, with the remaining 25% to be allocated when all required edits were completed. For those seven plans that were not recommended, no funding was

allocated. On March 29, 2007, the Division had allocated \$3,306,811 to LMEs based upon the approval status of the plans. The remaining \$1,943,189 will be allocated as LMEs make the required modifications to their plans.

Activities During April-June 2007

Technical Assistance. During this quarter, the LMEs worked to prepare and submit the revisions needed for plan approval. The Technical Assistance Collaborative, as part of their contract with DMH/DD/SAS assisted with this process. They worked most closely with the seven LMEs that had submitted plans that required extensive work in order to be approved for start-up funding. Technical assistance was provided both by telephone and by site visits to those programs in April and May 2007. As of June 30, 2007, four of the seven (Eastpointe, Johnston, Onslow-Carteret Behavioral Health, and Smoky Mountain) had submitted plans that have been approved.

TAC has worked with DMH/DD/SAS to accomplish the following tasks:

- Conducted on-site reviews and comprehensive technical assistance for five LMEs needing additional assistance with their comprehensive crisis plans;
- Conducted comprehensive technical assistance via conference call with two LMEs to assist them to make substantive changes in their local crisis plans;
- Reviewed three sets of LME crisis plan revisions and participated in a conference call to assist DMH/DD/SAS to decide how to proceed with regard to partial approval and focused technical assistance related to these LMEs;
- Began work on three general application monographs for use as a foundation for technical assistance and training for LMEs as they implement their local crisis plans; Topics for these monographs are:
 - Overall systemic approach to comprehensive crisis system development
 - Comprehensive crisis services for people with developmental disabilities
 - Integration of crisis service system responses with primary health systems and health care issues for priority consumers
- Began joint work with DMH/DD/SAS on developing first responder training scheduled for November 2007; and
- Drafted a brief survey to LMEs to collect information for (a) tracking LME progress towards implementation of priority initiatives contained in their local crisis plans; and (b) to form a basis for planning and implementing statewide and demand-response training and technical assistance strategies to support crisis system implementation.

Plan Review and Approvals. Each plan that was determined to need revisions or additional information was reviewed again by Division staff and recommendations were made regarding whether the plan should be approved. Twenty of 26 LME crisis service plan revisions have been approved and those LMEs have been allocated all of their start-up funds. Of the six LMEs that have not received final approval, four have been recommended for initial approval with edits and were allocated 75% of the start-up funding share.

Allocation of Start-up funds. The table on the following page provides LME specific information about the date of final plan approvals and the amount of crisis service start-up funding that has been allocated to each LME.

DHHS - DMH/DD/SAS
Crisis Start-up Funding per
Senate Bill 1741

	LME	Start-up Funds Available Per SB 1741 (Poverty per capita basis)	Crisis Plan Approval Date	Start-up Funds Allocated 6/30/2007	Balance to Allocate upon Plan Approval
1	Alamance/Caswell/Rockingham	153,544	Pending	0	153,544
2	Albemarle-Tideland ¹	135,921	Pending	0	135,921
3	Beacon Center (E-N/W-G) ²	145,648	Pending	109,236	36,412
4	Catawba	90,306	6/20/07	90,306	0
5	CenterPoint	247,321	5/4/07	247,321	0
6	Crossroads	151,118	5/4/07	151,118	0
7	Cumberland	188,268	5/17/07	188,268	0
8	Durham	147,004	3/29/07	147,004	0
9	EastPointe	174,152	6/12/07	174,152	0
10	East Carolina Behavioral Health (Neuse Pitt Roanoke-Chowan) ³	201,476	5/30/07	201,476	0
11	Five County	138,150	5/29/07	138,150	0
12	Foothills	148,481	5/30/07	148,481	0
13	Guilford	264,979	5/17/07	264,979	0
14	Johnston	89,902	6/20/07	89,902	0
15	Mecklenburg	480,866	Pending	360,650	120,216
16	New River ⁴	98,959	6/12/07	98,959	0
17	Onslow-Carteret	135,274	7/2/07	135,274	0
18	OPC	131,015	6/22/07	131,015	0
19	Pathways	216,209	5/30/07	216,209	0
20	Piedmont	399,609	6/21/07	399,609	0
21	Sandhills	308,491	5/4/07	308,491	0
22	Smoky Mountain	110,821	5/30/07	110,821	0
23	Southeastern	190,214	5/30/07	190,214	0
24	Southeastern Reg.	151,777	5/30/07	151,777	0
25	Wake	459,341	Pending	344,506	114,835
26	Western Highlands	291,154	Pending	218,366	72,789
	Total	\$5,250,000		\$4,616,284	\$633,716

- 1 Joint plan submitted by Albemarle (\$79,854) and Tideland (\$56,067) since they are merging 7/1/07. A proportion of the Tideland funds based on the population of Beaufort County will be transferred to East Carolina Behavioral Health (ECBH) in FY 2007-08.
- 2 Joint plan submitted by Edgecombe-Nash (\$86,965) and Wilson Greene (\$58,683) since they are merging 7/1/07 to become Beacon Center.
- 3 Joint plan submitted by Neuse (\$69,163), Pitt (\$86,769), and Roanoke-Chowan (\$45,544) since they are merging 7/1/07 to become East Carolina Behavioral Health. In addition to these funds, in FY 2007-08, ECBH will also receive additional funds based on the proportion of the population in poverty in Beaufort County which will become part of ECBH.
- 4 New River and Smoky Mountain will be merging in FY 2007-08, but submitted coordinated but separate plans.

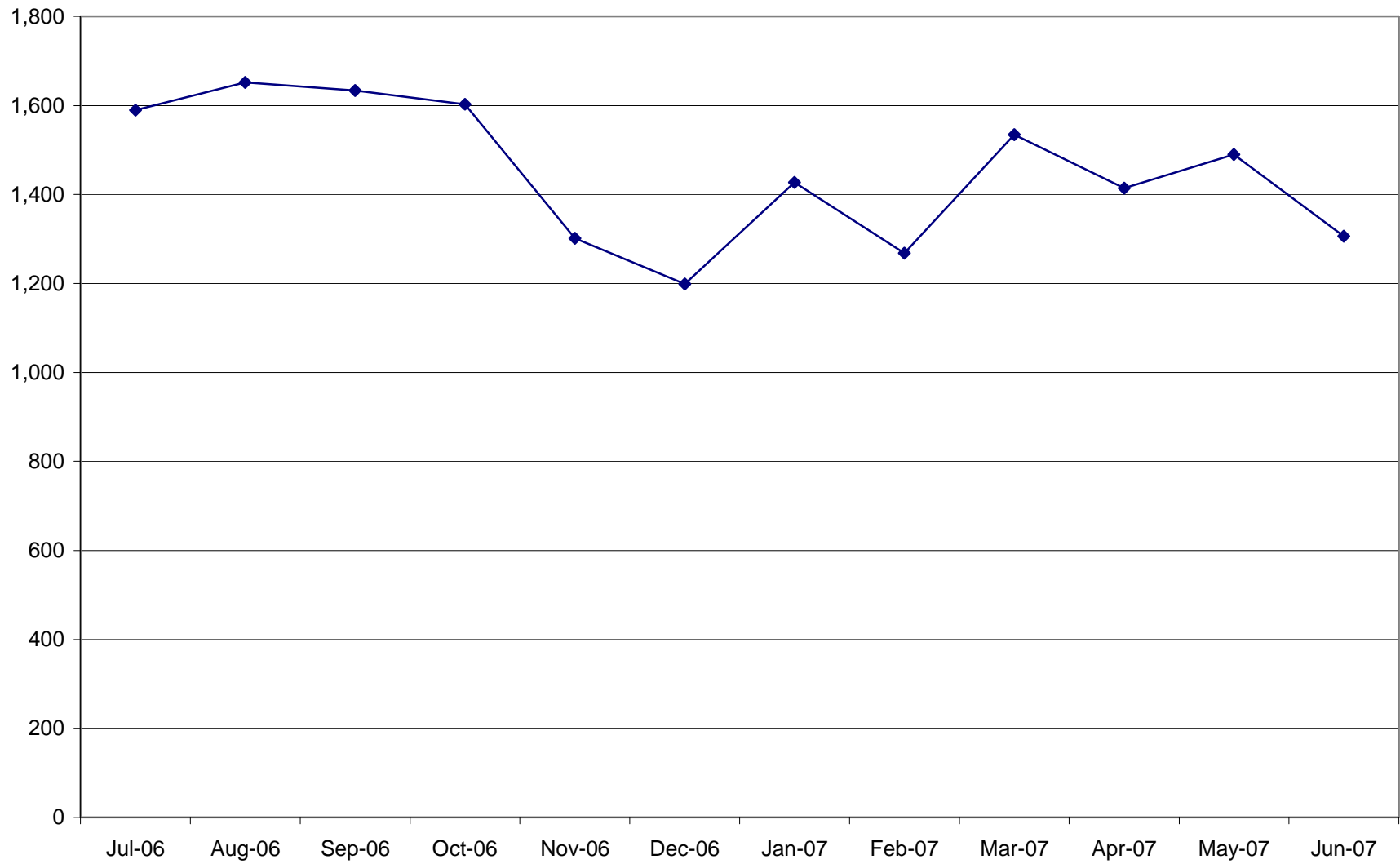
State Hospital Admission Data SFY 2006-07.

One of the anticipated outcomes of crisis planning and funding to develop effective crisis services in communities throughout North Carolina is that there would be a reduction in admissions to state psychiatric hospitals. Data about admissions were tracked in SFY 2006-07 to provide baseline information.

A chart showing the statewide number of state hospital admissions per month during SFY 2006-07 is shown on the following page. Note that the number of admissions varied by the time of year as can be seen from that graph. This appears to be an annual pattern regarding admissions to the state hospitals.

State Fiscal Year 2006-07 data regarding the number of admissions per month by each LME is presented in a table on page 7. The data is based on total admissions and not the number of admissions taking the population of the individual catchment areas into account. Note that the data has been combined for programs that will be merged in SFY 2007-08. Beacon Center includes data for both Edgecombe-Nash and Wilson-Greene. East Carolina Behavioral Health includes data for Neuse, Pitt, and Roanoke-Chowan, but will not include data for Beaufort County for SFY 2006-07. Note also that the SFY 2006-07 does not combine the data for Smoky Mountain and New River since they submitted separate, but coordinated, crisis plans. Data for SFY 2007-08 will report combined data for all LME mergers that became effective July 1, 2007.

SFY06-07 State Hospital Admissions



SFY 06-07 State Hospital Admissions by LME

	July-06	Aug-06	Sep-06	Oct-06	Nov-06	Dec-06	Jan-07	Feb-07	Mar-07	Apr-07	May-07	Jun-07	Total	Avg/mon
Alamance/Caswell/Rockingham	59	59	72	58	46	55	64	61	65	67	62	58	726	66
Albemarle-Tideland	37	48	43	50	18	16	35	33	36	29	33	24	402	37
Beacon Center (E-N/W-G)	97	115	89	112	75	72	92	81	98	72	76	71	1,050	95
Catawba	5	10	14	19	18	16	13	12	10	14	12	11	154	14
CenterPoint	84	95	92	89	79	65	83	69	81	80	86	79	982	89
Crossroads	39	32	37	29	23	23	32	27	35	33	28	23	361	33
Cumberland	35	35	25	23	21	32	39	33	41	41	46	32	403	37
Durham	69	91	84	60	62	48	69	46	64	66	67	70	796	72
Eastpointe	99	64	71	82	56	55	72	56	74	65	65	55	814	74
East Carolina Behavioral Health (Neuse Pitt Roanoke-Chowan)	67	78	76	58	58	47	77	53	78	53	58	56	759	69
Five County	58	59	66	60	44	44	54	49	67	52	70	56	679	62
Foothills	53	43	58	44	45	22	39	42	48	46	59	37	536	49
Guilford	63	81	78	75	49	45	51	58	82	55	75	66	778	71
Johnston	17	32	25	32	33	32	31	27	29	35	35	23	351	32
Mecklenburg	39	39	56	57	32	30	19	39	47	47	30	24	459	42
New River	23	18	12	13	18	12	18	13	14	22	21	18	202	18
Onslow-Carteret	15	18	25	28	17	8	15	14	19	12	10	19	200	18
OPC	40	39	44	41	39	28	38	26	32	34	25	21	407	37
Pathways	49	49	51	47	38	38	39	33	43	36	39	28	490	45
Piedmont	98	83	81	94	62	53	63	61	53	69	59	81	857	78
Sandhills	88	92	97	86	76	82	76	72	85	102	75	52	983	89
Smoky Mountain	26	19	22	17	26	27	32	15	31	21	18	10	264	24
Southeastern	74	77	50	58	43	42	60	41	55	45	63	66	674	61
Southeastern Reg.	34	36	23	29	23	21	29	27	36	34	44	21	357	32
Wake	204	207	234	224	180	194	179	182	213	189	211	198	2,415	220
Western Highlands	95	102	79	90	91	70	87	80	75	73	98	85	1,025	93
Unknown	22	31	30	28	29	22	21	18	23	22	25	22	293	27
Total	1,589	1,652	1,634	1,603	1,301	1,199	1,427	1,268	1,534	1,414	1,490	1,306	17,417	1,583